

SETTLEMENT INSTRUCTION (SI) / INVESTOR SETTLEMENT INSTRUCTION (ISI)
證券交收指示 / 投資者交收指示

To uSmart Securities Limited: - Settlement Department:
致盈立證券有限公司 - 交收部:

Account No. 戶口號碼:		Account Name 客戶名稱:				
Name of CCASS Participant 中央結算系統參與者名稱		uSmart Securities Limited 盈立證券有限公司	CCASS Participant No. 中央結算系統參與者編號			
			B02159			
This is to authorise you to act in accordance with my/our following settlement instruction (SI) / Investment Settlement Instruction (ISI) through CCASS:- 茲授權 貴公司經中央結算執行本人/吾等以下之交收指示:-						
<input type="checkbox"/>	Please receive the following securities for my / our securities account maintained with uSmart Securities Limited 請將以下證券接收到本人/吾等於盈立證券有限公司開立之證券帳戶內					
<input type="checkbox"/>	Please deliver the following securities from my / our securities account maintained with uSmart Securities Limited 請將以下證券從本人/吾等於盈立證券有限公司開立之證券帳戶內交出					
Details of Counterparty 交收對手資料:						
Name of CCASS Participant 中央結算系統參與者名稱		CCASS Participant No. 中央結算系統參與者編號				
Contact Person & Number 聯絡人姓名及電話		Value Date 執行日期				
Stock Code 股票編號	Stock Name 股票名稱	Quantity 股票	Method of Settlement 交收方式		For official use only 內部專用	
			FOP 無需付款	DVP 貨銀對付 \$HKD	SI Input No.	SI Input Fee
			<input type="checkbox"/>	<input type="checkbox"/> \$HKD		
			<input type="checkbox"/>	<input type="checkbox"/> \$HKD		
			<input type="checkbox"/>	<input type="checkbox"/> \$HKD		
			<input type="checkbox"/>	<input type="checkbox"/> \$HKD		
			<input type="checkbox"/>	<input type="checkbox"/> \$HKD		
			<input type="checkbox"/>	<input type="checkbox"/> \$HKD		
<input type="checkbox"/>	This SI/ISI involves no change in beneficial ownership. 以上交收指示並不牽涉實益擁有人之變更。					
<input type="checkbox"/>	There is change in beneficial ownership of the above-mentioned stocks through this SI/ISI. I/We here provide the original copy of Stamped Bought and Sold notes as supporting. 以上交收指示牽涉實益擁有人之變更。現附上已繳付政府印花稅之買賣單據作為依據。					
Date 日期:	Client Signature(s) 客戶簽署:				Verified By	
For Internal Use Only (內部專用)						
Handled By(CS/Set)	Reviewed By (CD/Fin)	Approved By (R.O)	Inputted By	Checked By		
	/					